

Rand Financial Services, Inc.

CFTC Regulation 1.55(o)(1)(iv)

Month-end Summary Schedule

	8/31/2018	7/31/2018	6/30/2018	5/31/2018	4/30/2018	3/31/2018	2/28/2018	1/31/2018	12/31/2017	11/30/2017	10/31/2017	9/30/2017
Net Capital	\$ 91,593,600	\$ 90,757,900	\$ 90,638,800	\$ 90,284,700	\$ 89,796,600	\$ 89,676,600	\$ 89,517,400	\$ 88,837,600	\$ 88,548,500	\$ 87,313,700	\$ 87,589,700	\$ 87,369,300
Adjusted Net Capital	\$ 90,076,814	\$ 89,457,196	\$ 89,528,145	\$ 88,955,840	\$ 88,486,067	\$ 88,072,938	\$ 88,191,727	\$ 87,598,259	\$ 87,557,425	\$ 86,029,144	\$ 86,426,161	\$ 85,939,031
Excess Net Capital	\$ 77,333,422	\$ 78,903,430	\$ 79,446,750	\$ 77,374,783	\$ 76,758,334	\$ 75,216,451	\$ 77,727,591	\$ 75,646,265	\$ 69,964,450	\$ 71,510,445	\$ 72,114,530	\$ 72,825,938