

Rand Financial Services, Inc.

CFTC Regulation 1.55(o)(1)(iv)

Month-end Summary Schedule

	<u>5/31/2018</u>	<u>4/30/2018</u>	<u>3/31/2018</u>	<u>2/28/2018</u>	<u>1/31/2018</u>	<u>12/31/2017</u>	<u>11/30/2017</u>	<u>10/31/2017</u>	<u>9/30/2017</u>	<u>8/31/2017</u>	<u>7/31/2017</u>	<u>6/30/2017</u>
Net Capital	\$ 90,284,700	\$ 89,796,600	\$ 89,676,600	\$ 89,517,400	\$ 88,837,600	\$ 88,548,500	\$ 87,313,700	\$ 87,589,700	\$ 87,369,300	\$ 86,870,100	\$ 86,508,300	\$ 86,230,900
Adjusted Net Capital	\$ 88,955,840	\$ 88,486,067	\$ 88,072,938	\$ 88,191,727	\$ 87,598,259	\$ 87,557,425	\$ 86,029,144	\$ 86,426,161	\$ 85,939,031	\$ 85,743,376	\$ 85,375,507	\$ 85,132,687
Excess Net Capital	\$ 77,374,783	\$ 76,758,334	\$ 75,216,451	\$ 77,727,591	\$ 75,646,265	\$ 69,964,450	\$ 71,510,445	\$ 72,114,530	\$ 72,825,938	\$ 71,734,577	\$ 72,390,355	\$ 72,352,166