

Rand Financial Services, Inc.

CFTC Regulation 1.55(o)(1)(iv)

Month-end Summary Schedule

	<u>11/30/2018</u>	<u>10/31/2018</u>	<u>9/30/2018</u>	<u>8/31/2018</u>	<u>7/31/2018</u>	<u>6/30/2018</u>	<u>5/31/2018</u>	<u>4/30/2018</u>	<u>3/31/2018</u>	<u>2/28/2018</u>	<u>1/31/2018</u>	<u>12/31/2017</u>
Net Capital	\$ 92,229,200	\$ 92,507,400	\$ 91,777,800	\$ 91,593,600	\$ 90,757,900	\$ 90,638,800	\$ 90,284,700	\$ 89,796,600	\$ 89,676,600	\$ 89,517,400	\$ 88,837,600	\$ 88,548,500
Adjusted Net Capital	\$ 90,668,339	\$ 90,989,095	\$ 89,857,403	\$ 90,076,814	\$ 89,457,196	\$ 89,528,145	\$ 88,955,840	\$ 88,486,067	\$ 88,072,938	\$ 88,191,727	\$ 87,598,259	\$ 87,557,425
Excess Net Capital	\$ 81,992,696	\$ 80,724,155	\$ 73,312,758	\$ 77,333,422	\$ 78,903,430	\$ 79,446,750	\$ 77,374,783	\$ 76,758,334	\$ 75,216,451	\$ 77,727,591	\$ 75,646,265	\$ 69,964,450