

# Rand Financial Services, Inc.

CFTC Regulation 1.55(o)(1)(iv)

## Month-end Summary Schedule

	<u>12/31/2020</u>	<u>11/30/2020</u>	<u>10/31/2020</u>	<u>9/30/2020</u>	<u>8/31/2020</u>	<u>7/31/2020</u>	<u>6/30/2020</u>	<u>5/31/2020</u>	<u>4/30/2020</u>	<u>3/31/2020</u>	<u>2/29/2020</u>	<u>1/31/2020</u>
Net Capital	\$ 79,356,100	\$ 95,812,300	\$ 95,827,400	\$ 95,969,700	\$ 96,158,700	\$ 95,685,700	\$ 95,475,800	\$ 95,971,900	\$ 95,808,800	\$ 95,733,500	\$ 96,108,600	\$ 96,371,800
Adjusted Net Capital	\$ 79,349,816	\$ 94,627,508	\$ 94,730,483	\$ 94,710,342	\$ 94,641,247	\$ 94,305,947	\$ 94,174,282	\$ 94,735,491	\$ 94,525,911	\$ 94,436,232	\$ 94,400,361	\$ 94,652,224
Excess Net Capital	\$ 78,349,816	\$ 82,376,673	\$ 81,911,381	\$ 77,448,038	\$ 83,780,179	\$ 81,267,088	\$ 82,614,361	\$ 83,115,327	\$ 82,919,515	\$ 88,086,971	\$ 87,190,455	\$ 83,252,011