

Rand Financial Services, Inc.

CFTC Regulation 1.55(o)(1)(iv)

Month-end Summary Schedule

	3/31/2018	2/28/2018	1/31/2018	12/31/2017	11/30/2017	10/31/2017	9/30/2017	8/31/2017	7/31/2017	6/30/2017	5/31/2017	4/30/2017
Net Capital	\$ 89,676,600	\$ 89,517,400	\$ 88,837,600	\$ 88,548,500	\$ 87,313,700	\$ 87,589,700	\$ 87,369,300	\$ 86,870,100	\$ 86,508,300	\$ 86,230,900	\$ 85,832,300	\$ 85,775,100
Adjusted Net Capital	\$ 88,072,938	\$ 88,191,727	\$ 87,598,259	\$ 87,557,425	\$ 86,029,144	\$ 86,426,161	\$ 85,939,031	\$ 85,743,376	\$ 85,375,507	\$ 85,132,687	\$ 84,688,006	\$ 84,738,008
Excess Net Capital	\$ 75,216,451	\$ 77,727,591	\$ 75,646,265	\$ 69,964,450	\$ 71,510,445	\$ 72,114,530	\$ 72,825,938	\$ 71,734,577	\$ 72,390,355	\$ 72,352,166	\$ 64,328,796	\$ 67,810,733