

## OPT OUT NOTICE

Should you elect to opt out of Rand Financial Services, Inc.'s information sharing, you will need to complete the information requested below and return this notice via email to [compliance@randfinancial.com](mailto:compliance@randfinancial.com) or by mail to the attention of Vickie Lambert.

This notice shall serve as my instruction not to share any nonpublic personal information about me with any affiliated or unaffiliated third parties. I understand that you may still share this information with my introducing broker, any service provider necessary to effect or process any transaction in my Account, or as otherwise permitted by law or required by law. If this is a joint account, either party or both parties may elect to opt out by completing the information below.

Account Name: \_\_\_\_\_

Account Number(s): \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Phone: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

If you have any questions regarding this Opt Out Notice, please contact Vickie Lambert at (312) 559-8828 or [vlambert@randfinancial.com](mailto:vlambert@randfinancial.com).